RESPONSE UNDER 37 C.F.R. 1.116 – EXPEDITED PROCEDURE – EXAMINING GROUP No.: 2135

Reply to Office Action of September 22, 2004

Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

Amend claim 51, as follows.

Listing of Claims:

- 1. (previously amended) A method for providing firewall fault-1 tolerance in a network, the network including a plurality of firewalls, at 2 least one server and at least one network flowswitch, the method 3 comprising: 4 detecting in the network flowswitch an occurrence of a failed 5 firewall of the plurality of firewalls each having a different fixed media 6 access control (MAC) address; 7 detecting in the network flowswitch a packet from the server 8 directed to the failed firewall after the occurrence of a failed firewall is 9 detected: 10 changing a MAC address of the packet to the fixed MAC 11 address of a functional firewall of the plurality of firewalls when the packet 12 is detected; and 13 relaying the packet to the functional firewall after the MAC 14
- 2. **(original)** The method of claim 1 wherein the network comprises a plurality of servers.

address of the packet is changed.

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3. **(previously amended)** The method of claim 2 wherein relaying the packet to the functional firewall comprises relaying the packet to the functional firewall over a medium that is not shred with packets directed to other firewalls or servers.

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1	4. (original) The method of claim 1 wherein said detecting an
2	occurrence of a failed firewall comprises sending a request to the plurality
3	of firewalls, wherein an absence of a response from a particular firewall of
4	the plurality of firewalls is indicative of a failure of the particular firewall.

- 1 5. (original) The method of claim 1 wherein said detecting an occurrence of a failed firewall comprises sending at least one Address 2 Resolution Protocol (ARP) request to each firewall of the plurality of 3 firewalls, wherein an absence of a reply to an ARP request from a 4 particular firewall of the plurality of firewalls is indicative of a failure of the 5 particular firewall. 6
- 6. (previously amended) The method of claim 1 further 1 2 comprising: 3 detecting an address resolution protocol (ARP) request from the server to the failed firewall; and 4 responding to the ARP request with the fixed MAC address of 5 the functional firewall, whereby the server is configured to send 6 7 subsequent outbound packets with the fixed MAC address of the functional firewall. 8
 - 7. (original) The method of claim 1 wherein said detecting an occurrence of a failed firewall comprises sending ICMP echo packets to each firewall of the plurality of firewalls and wherein an absence of a response from a particular firewall of the plurality of firewalls during a predetermined interval is indicative of a failure of the particular firewall.

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8. (original) The method of claim 1 further comprising: 1 detecting a recovery of the failed firewall, the failed firewall 2 3 becoming a recovered firewall; and

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Reply to Office Action of September 22, 2004 terminating said detecting a packet from the server directed to 4 5 the failed firewall when said failed firewall recovers. 9. (previously amended) The method of claim 8 further 1 comprising waiting for a time-out period to expire after said detecting when 2 3 the failed firewall recovers. 10. (previously amended) The method of claim 9 wherein the 1 time-out period is greater than or equal to a time period needed for the 2 recovered firewall to learn routes to all known clients. 3 11. (previously amended) The method of claim 8 wherein 1 2 said detecting a recovery of the failed firewall comprises sending to the failed firewall a request, and a response from the failed firewall is 3 4 indicative of a recovery of the failed firewall. 12. (previously amended) The method of claim 8 wherein 1 said detecting a recovery of the failed firewall comprises detecting a 2 packet from the failed firewall in response to a request. 3 13. (original) The method of claim 8 wherein said detecting a 1 recovery of the failed firewall comprising sending ARP requests to each 2 firewall of the plurality of firewalls, wherein an occurrence of a reply to an 3

1 14. (original) The method of claim 1 wherein packets are 2 transferred between the server and a firewall of the plurality of firewalls

ARP request from the failed firewall is indicative of a recovery of the failed

3 through a switch circuit.

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firewall.

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2	circuit comprises a switched Ethernet circuit.
1	16. (previously amended) An apparatus for providing firewall
2	fault-tolerance in a network, the network including a plurality of firewalls, at
3	least one server and at least one network flowswitch, the apparatus
4	comprising:
5	means for detecting an occurrence of a failed firewall in the
6	plurality of firewalls each having a difference fixed media access control
7	(MAC) address;
8	means for detecting a packet from the server directed to the
9	failed firewall after the failed firewall is detected;
10	means for changing a MAC address of the packet to the fixed
11	MAC address of a functional firewall of the plurality of firewalls when the
12	packet is detected; and
13	means for relaying the packet to the functional firewall after the
14	MAC address of the packet is changed.
1	17. (previously amended) The apparatus of claim 16 further
2	comprising:
3	means for detecting an address resolution protocol (ARP)
4	request from the server to the failed firewall; and
5	means for responding to the ARP request with the fixed MAC
6	address of the functional firewall, wherein the server sends subsequent
7	outbound packets with the fixed MAC address of the functional firewall.
1	18. (original) The apparatus of claim 16 wherein said means
2	for detecting a failed firewall comprises means for transmitting a request to
3	the plurality of firewalls, wherein an absence of a reply from a particular
4	firewall of the plurality of firewalls is indicative of a failure of the particular

15. (original) The method of claim 14 wherein the switch

firewall.

1	19. (original) The apparatus of claim 16 wherein said means
2	for detecting a failed firewall comprises means for sending ARP requests
3	to each firewall of the plurality of firewalls, wherein an absence of a reply
4	to an ARP request from a particular firewall of the plurality of firewalls is
5	indicative of a failure of the particular firewall.

- 20. **(original)** The apparatus of claim 16 further comprising:
 means for detecting a recovery of the failed firewall, the failed
 firewall becoming a recovered firewall; and
 means for disabling said means for detecting a packet from the
 server directed to the failed firewall when said failed firewall recovers.
- 21. **(original)** The apparatus of claim 20 wherein said means for detecting a recovery of the failed firewall comprises means for transmitting a request to the plurality of firewalls, wherein a response from the failed firewall is indicative of recovery of the failed firewall.
- 1 22. **(original)** The apparatus of claim 16 wherein said means 2 for detecting a recovery of the failed firewall comprises means for sending 3 ARP requests to each firewall of the plurality of firewalls, wherein an 4 occurrence of a replay to an ARP request from the failed firewall is 5 indicative of a recovery of the failed firewall.
- 1 23. (previously amended) A network having firewall fault2 tolerance, the network configured to be coupled to a network backbone,
 3 the network comprising:
 4 a switch circuit;
 5 a first firewall coupled to said switch circuit and the network
 6 backbone, said first firewall having a fixed media access control (MAC)
 7 address;

8	a second firewall coupled to said switch circuit and the network
9	backbone, said second firewall having a fixed MAC address different from
10	the fixed MAC address of the first firewall; and
11	a server coupled to the switch circuit,
12	wherein the switch circuit is configured to detect when the first
13	firewall fails, the switch circuit being further configured to monitor packets
14	sent by the server to the first firewall and to change in the packet the fixed
15	MAC address of the first firewall to the fixed MAC address of the second
16	firewall.

- 1 24. **(original)** The network of claim 23 further comprising a plurality of servers, the plurality of servers including the server.
- 1 25. **(previously amended)** The network of claim 23 wherein 2 the switch circuit is further configured to relay the packet to the second 3 firewall after changing the fixed MAC address of the first firewall to the 4 fixed MAC address of the second firewall.

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- 26. **(original)** The network of claim 23 wherein the switch circuit is configured to detect a failed firewall by transmitting a request to the first and second firewalls, wherein an absence of a reply from a particular firewall of the first and second firewalls is indicative of a failure of the particular firewall.
- 27. **(original)** The network of claim 23 wherein the switch circuit is configured to detect a failed firewall by sending ARP requests to the first and second firewalls, wherein an absence of a replay to an ARP request from a particular firewall of the first and second of firewalls is indicative of a failure of the particular firewall.

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1	28. (original) The network of claim 23 wherein the switch
2	circuit is configured to detect a failed firewall by sending ICMP echo
3	requests to the first and second firewalls, wherein an absence of a reply to
4	an ICMP echo request from a particular firewall of the first and second of
5	firewalls is indicative of a failure of the particular firewall.
1	29. (original) The network of claim 23 wherein the switch
2	circuit is configured to detect a failed firewall by monitoring responses
3	from the firewalls to requests sent at predetermined intervals.
1	30. (previously amended) The network of claim 23 wherein
2	the switch circuit is further configured to:
3	detect an address resolution protocol (ARP) request from the
4	server to the first firewall; and
5	respond to the ARP request with the fixed MAC address of the
6	second firewall, whereby the server sends subsequent outbound packets
7	with the fixed MAC address of the second firewall.
1	31. (original) The network of claim 23 wherein the switch
2	circuit is further configured to:
3	detect when the first firewall recovers; and
4	terminate monitoring for packets sent by the server to the first
5	firewall after the first firewall recovers.
1	32. (original) The network of claim 31 wherein the switch
2	circuit is further configured to wait for a time-out period to expire after
3	detecting when the first firewall recovers.

1 33. **(original)** The network of claim 32 wherein the time-out period is greater than or equal to a time period needed for the recovered first firewall to learn routes to all known clients.

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- 34. (original) The network of claim 31 wherein the switch 1 2 circuit is configured to detect a recovery of the failed firewall by transmitting a request to the first and second firewalls, wherein receipt of a 3 response from the failed firewall is indicative of a recovery of the failed 4 5 firewall.
- 35. (original) The network of claim 31 wherein the switch 1 circuit is configured to detect a recovery of the failed firewall by sending 2 ARP requests to the first and second firewalls, wherein an occurrence of a 3 reply to an ARP request from the failed firewall is indicative of a recovery 4 of the failed firewall. 5
- 36. (original) The network of claim 31 wherein the switch 1 2 circuit is configured to detect a recovery of the failed firewall by sending ICMP echo requests to the first and second firewalls, wherein an 3 occurrence of a reply to an ICMP echo request from the failed firewall is 4 indicative of a recovery of the failed firewall. 5
- 37. (previously amended) The network of claim 23 wherein 1 packets are transferred between the server and the first firewall through 2 the switch circuit, and between the server and the second firewall through 3 the switch circuit. 4
- 1 38. (previously amended) The network of claim 36 wherein the switch circuit is configured to provide full-duplex communication 2 between the first firewall and the server. 3
- 39. (original) The network of claim 36 wherein the switch 1 2 circuit comprises a switched Ethernet circuit.

1	40. (previously amended) A method for providing fault-
2	tolerance in a network, the network including a plurality of firewalls each
3	having a different fixed media access control (MAC) address, the method
4	comprising:
5	generating a request message on a first side of a first firewall in
6	the plurality of firewalls;
7	sending the request message through the first firewall to a
8	second side of the first firewall; and
9	processing an absence of a reply from the second side to the
10	requst message as a failure of the first firewall, including
11	replacing, in a packet, the fixed MAC address of the first firewall
12	with the fixed MAC address of a second firewall of the plurality of firewalls.
1	41. (previously amended) The method of claim 40 further
2	comprising:
3	maintaining in a first memory on said first side a first functional
4	status for each firewall;
5	maintaining in a second memory on said second side a second
6	functional status for each firewall; and
7	wherein said first functional status is identical to said second
8	functional status.
1	42. (previously amended) The method of claim 41 further
2	comprising:
3	maintaining session information in a firewall for each session
4	between computers separated by the firewall.
1	43. (previously amended) The method of claim 40 further
2	comprising:
3	sending the request message through the first firewall to a third
4	side of the first firewall; and

5	processing an absence of a reply from the third side to the
6	request message as a failure of the first firewall.
1	44. (previously amended) The method of claim 40 wherein:
2	the generating, sending and processing are performed in a
3	switch circuit.
1	45. (previously amended) The method of claim 40 further
2	comprising:
3	performing Network Address Translation (NAT) in the first
4	firewall; and
5	adding a rule in the first firewall to maintain unchanged an
6	internet protocol (IP) address of a source of the request message.
1	46. (previously amended) The method of claim 40 further
2	comprising:
3	receiving a request on a port; and
4	sending a reply on said port.
1	47. (previously amended) A network having fault-tolerance,
2	the network comprising:
3	a first switch circuit;
4	a second switch circuit; and
5	a plurality of firewalls each having a different fixed media access
6	control (MAC) address, the plurality of firewalls being coupled to each of
7	the first switch circuit and the second switch circuit, each firewall being
8	coupled to the first switch circuit by a first medium that is not shared with
9	another firewall in the plurality of firewalls and each firewall being coupled
10	to the second switch circuit by a second medium that is not shared with
11	another firewall in the plurality of firewalls; wherein

a switch circuit of the first and the second switch circuits
responds to a first firewall of the plurality of firewalls being functional by
sending a first packet that has the fixed MAC address of the first fiewall
and is received by said switch circuit to the first firewall, and responds to a
failure of the first firewall by replacing in a second packet received by said
switch circuit the fixed MAC address of the first firewall with the fixed MAC
address of a functional second firewall of the plurality of firewalls and
sending the second packet with the replaced MAC address to the second
firewall.

- 1 48. **(previously amended)** The network of claim 47 further 2 comprising:
 - a plurality of first computers, each first computer being coupled to the first switch circuit, each first computer being configured with the media access control (MAC) address of the first firewall, the first firewall being a default gateway for transferring packets outside the network.
- 1 49. **(previously amended)** The network of claim 48 further 2 comprising:
 - a plurality of second computers, each second computer being coupled to the second switch circuit, each second computer being configured with the MAC address of the first firewall, the first firewall being a default gateway for transferring packets inside the network.
- 1 50. **(previously amended)** The network of claim 47 further 2 comprising:
- a plurality of routers coupled to the second switch circuit.
- 51. (currently amended) The network of claim 47 wherein each of the first switch circuit and the second switch circuit comprises:

3	a first storage element encoded with a list of the plurality of
4	firewalls; and
5	a second storage element encoded with an identify identity of a
6	firewall in the plurality as a replacement firewall for any other firewall in the
7	plurality that has failed.
1	52. (previously amended) The network of claim 47 wherein:
2	each of the first switch circuit and the second switch circuit is
3	configured to send a request message to the other of the first switch circuit
4	and the second switch circuit; and
5	each of the first switch circuit and the second switch circuit is
6	configured to treat absence of a response to the request message as a
7	failure of a firewall through which the request message was sent.
1	53. (previously amended) The network of claim 52 wherein:
2	the request message conforms to an internet protocol selected
3	from the group consisting of:
4	(a) ping;
5	(b) address resolution protocol (ARP); and
6	(c) internet message control protocol (ICMP).
1	54. (previously amended) The network of claim 47 wherein:
2	the first switch circuit transfers a plurality of packets to the first
3	firewall through a first medium without changing any portion of any packet
4	in the plurality of packets while the first firewall is functional.
1	55. (previously amended) The network of claim 47 wherein:
2	the switch circuit replaces in each received packet the fixed
3	MAC address of the first firewall with the MAC address of the second
4	firewall and transfers each modified packet to the second firewall only
5	while the first firewall is nonfunctional.

1	56. (previously amended) The network of claim 47 wherein
2	each switch circuit comprises a switched Ethernet circuit.
1	57. (previously amended) A method of providing fault-
2	tolerance in a network, the network including a plurality of firewalls each
3	having a different fixed media access control (MAC) address, the method
4	comprising:
5	detecting a failure of a first firewall in the plurality of firewalls;
6	and
7	replacing, in a packet, the fixed MAC address of the first firewall
8	with the fixed MAC address of a second firewall in the plurality of firewalls
9	in response to the failure.
1	58. (previously amended) The method of claim 57 wherein:
2	the detecting is performed in a switch circuit.
1	59. (previously amended) The method of claim 57 further
2	comprising:
3	receiving the packet after detecting the failure and prior to the
4	replacing.
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1	60. (previously amended) The method of claim 57 further
2	comprising: transferring a plurality of packets other than the packet, between
3	a host and a firewall in the plurality of firewalls through a switch circuit.
4	a nost and a mewall in the plurality of mewalls through a switch circuit.
1	61. (previously amended) The method of claim 60 wherein:
2	each of the packets contains a first internet protocol(IP address;
3	and
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RESPONSE UNDER 37 C.F.R. 1.116 – EXPEDITED Serial No. **09/540,238** PROCEDURE - EXAMINING GROUP No.: 2135 Amdt. Dated 22 November 2004 Reply to Office Action of September 22, 2004 the method does not change the first IP address during 4 transferring of the packets to any of the firewalls. 5 62. (previously amended) The method of claim 61 wherein: 1 each of the firewalls has a first side and a second side; and 2 each of the firewalls has the first IP address on the first side and 3 a second IP address on the second side. 4

packets during the transferring, until the detecting of failure.

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63. (previously amended) The method of claim 61 wherein:

the method does not change the MAC address of any of the